Demonstration Grants for Student Drug Testing

(CFDA #84.184D)

Information and Application Procedures for Fiscal Year 2003 OMB No. 1890-0009 Expiration Date: 06/30/2005

Application Deadline: August 20, 2003





U.S. Department of Education

Office of Safe and Drug-Free Schools

July 2003

Dear Colleague:

Thank you for your interest in the competition for Demonstration Grants for Student Drug Testing (CFDA 84.184D) administered by U.S. Department of Education's Office of Safe and Drug-Free Schools.

This program is authorized by the Elementary and Secondary Education Act (ESEA) as amended by the No Child Left Behind Act (NCLB) of 2001. NCLB represents the President's education reform plan and contains four basic principles: stronger accountability for results, increased flexibility and local control, expanded options for parents, and an emphasis on teaching methods and other strategies that have been proven to work

These grants will support the President's vision for education by helping schools create learning environments that are safe and drug free. We encourage you to consider these four important principles embodied in NCLB as you develop your application.

We look forward to receiving your application for funding under the competition for Demonstration Grants for Student Drug Testing.

Cordially,

Judge Eric Andell Deputy Under Secretary

TABLE OF CONTENTS

I. Introduction	6
II. Government Performance and Results Act (GPRA)	8
III. Tips for Applicants	9
IV. General Information and Requirements1	1
Background	
Application Requirements	
Supplementary Information	
V. Priorities and Selection Criteria1	4
Absolute Priority	
Competitive Preference Priority	
Selection Criteria	
VI. Application Contents1	8
Preparing the Application	
Organizing the Application	
GEPA 427	
VII. Application Submission Procedures22	2
VIII. Intergovernmental Review20	6
IX. Frequently Asked Questions3	0
X. Appendices and Forms	2
Authorizing Legislation	
Application for Federal Education Assistance (ED Form 424)	
Budget Information – Non-Construction Programs (ED Form 524)	
Assurances - Non-Construction Programs (Standard Form 424B)	
Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility	r
Matters; and Drug-Free Workplace Requirements (ED Form 80-0013)	
Disclosure of Lobbying Activities (Standard Form – LLL)	
Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion	n -
Lower Tier Covered Transactions (ED Form 80-0014)	
Survey on Ensuring Equal Opportunity for Applicants	
Important Notice to Prospective Participants (ED Form 5348)	
Grant Application Receipt Acknowledgement	
Application Package Preparation Checklist	

I. INTRODUCTION

Overview

We will award grants under this competition to public and private entities, including LEAs, to develop or enhance, implement, and evaluate school-based drug testing programs for students.

Authority

This grant program is authorized under Title IV, Part A, Subpart 2, Section 4121 [20 U.S.C. 7131] of the Elementary and Secondary Education Act of 1965, as amended by the No Child Left Behind Act of 2001 (Public Law 107-110).

Note to Applicants

This is a complete application package for the Demonstration Grants for Student Drug Testing grant competition. Together with the statute authorizing the program and the Education Department General Administrative Regulations (EDGAR) governing the program, this package contains all of the information, application forms, and instructions needed to apply for this grant.

The official document governing this competition is the Closing Date Notice published in the <u>Federal Register</u> on July 11, 2003. This notice also is available electronically at: www.ed.gov/legislation/FedRegister and www.access.gpo.gov/nara.

Applicants should clearly indicate in Block #4 of the Standard Form 424, the CFDA number and alpha suffix of this competition (84.184D).

Applicable Regulations

The following Education Department General Administrative Regulations (EDGAR) apply to the competition described in this application package:

- 34 CFR Part 74 (Administration of Grants and Agreements to Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations)
- 34 CFR Part 75 (Direct Grant Programs)
- 34 CFR Part 77 (Definitions that Apply to Department regulations)
- 34 CFR Part 79 (Intergovernmental Review of Department of Education Programs and activities)
- 34 CFR Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments)
- 34 CFR Part 81 (General Education Provisions Act—Enforcement)
- 34 CFR Part 82 (New Restrictions on Lobbying)
- 34 CFR Part 85 (Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug-Free Workplace (Grants))
- 34 CFR Part 86 (Drug and Alcohol Abuse Prevention)
- 34 CFR Part 97 (Protection of Human Subjects)
- 34 CFR Part 98 (Student Rights in Research, Experimental Programs and Testing)
- 34 CFR Part 99 (Family Educational Rights and Privacy)

Eligibility

Eligible applicants are LEAs and public and private entities.

Project Period

The project period for this grant is 36 months (three budget periods of 12 months each). Projects will be funded for one year with an option for two additional years, contingent upon substantial progress by the grantee and the availability of appropriations. **Note**: Applicants requesting three-year projects must submit a detailed budget narrative for each of the three years in order to be eligible for funding each year.

Estimated Range of Awards

An estimated 7 new awards will be made. Projects will be funded for approximately \$200,000 to \$400,000 per year depending on the scope of work. These figures are only estimates and do not bind the Department of Education to a specific number of grants or amount of any grant.

Application Due Date

All applications must be postmarked on or before August 20, 2003 in order to be eligible for review. Applications delivered by hand must be received by the U.S. Department of Education Application Control Center no later than 4:30 PM Eastern Time on August 20, 2003. Applications may also be submitted electronically through the Department's e-Applications pilot. Applications may not be emailed or faxed.

Under very extraordinary circumstances, the Department may change the closing date for a competition. When this occurs, we announce such a change in a notice published in the <u>Federal Register</u>. Waivers for individual applications failing to meet the deadline will not be granted, except in the circumstances described in the section under e-Applications.

Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for the information collection is OMB No. 1890-0009. The time required to complete the information collection is estimated to average 26 hours per response, including the time to review instructions, search existing data resources, gather and maintain the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Safe and Drug-Free Schools, U.S. Department of Education, 400 Maryland Avenue, SW Room 3E314, Washington, DC 20202-6450.

II. THE GOVERNMENT PERFORMANCE AND RESULTS ACT (GPRA)

The Government Performance and Results Act of 1993 (GPRA) is a straightforward statute that requires all Federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report their progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve congressional decision-making through more objective information on the effectiveness of Federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

As required by GPRA, the Department of Education has prepared a strategic plan for 2002-2007. This plan reflects the Department's priorities and integrates them with its mission and program authorities and describes how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listen in the plan, are:

- **GOAL 1:** Create a culture of achievement
- **GOAL 2:** Improve student achievement.
- **GOAL 3:** Develop safe schools and strong character.
- **GOAL 4:** Transform education into an evidence-based field
- **GOAL 5:** Enhance the quality and access to postsecondary and adult education.
- **GOAL 6:** Establish management excellence.

The Secretary has established the following key performance measure for assessing the effectiveness of the Demonstration Grants for Student Drug Testing Program: the reduction of the incidence of drug use in the past month and past year. The Secretary has set an overall performance target that calls for the incidence of drug use by students in the target population to decline by five percent annually.

III. TIPS FOR APPLICANTS

A. Before you Begin

- Read this application package carefully and make sure you follow all of the instructions
- Use the tools we have provided to help you including:
 - --Frequently Asked Questions in this application package;
 - --How to apply for a grant on our web site at www.ed.gov/offices/OSDFS.
- Ask questions of the program contact if you don't understand how to proceed. Technical assistance will be provided about what is and is not allowable under this program.

B. Preparing your Application

- If you are requesting consideration for the competitive preference priority, you must clearly state this in the abstract.
- Be thorough in your program description. Write so that someone who knows nothing about your organization or your program plan can understand what you are proposing.
- Organize your application according to the selection criteria and respond comprehensively.
- Make sure your budget narrative provides enough detail about planned expenditures so staff can easily determine how the funds will be spent.
- Link your planned expenditures to the goals and objectives of your program. Do not request funds for miscellaneous purposes and make sure you demonstrate that your proposed expenditures are necessary to carry out your program.
- Appendix 1 must include the assurance of legal review (all applicants) and the partnership agreement (applicants other than LEAs).

C. Submitting your Application

- Use the checklist provided in this application package to make sure your application is complete before submitting it.
- Make sure all required forms are included and signed by an authorized representative of your organization.
- Transmit your application by the deadline date. If you use the U.S. Postal Service, make sure you have a legible postmark date. If you use an overnight carrier, get a receipt. If you use eapplications, follow the instructions on the e-Application web site.

D. What Happens Next?

- In approximately two weeks (depending on the volume of applications) you should receive a postcard from ED's Application Control Center acknowledging receipt of your application and giving you its assigned number. Please refer to this number if you need to contact us about your application.
- OSDFS staff screens each application to ensure that all program eligibility requirements are met and all forms are included.

- Your application will be assigned to a three-person panel of expert reviewers who will evaluate and score your proposal according to the selection criteria in this package. Your application will receive a score from 0 to 100 depending upon how well you respond to the requirements of the selection criteria.
- If you apply for the competitive preference, your application will be reviewed separately by a panel of non-federal experts that includes at least one evaluation expert.
- A grant award document will be sent to applicants whose proposals score within the funding range. Unsuccessful applicants will receive a notification letter. Both successful and unsuccessful applicants will receive copies of the peer review comments.

IV. GENERAL INFORMATION AND REQUIREMENTS

BACKGROUND

Substance abuse is a serious health problem facing our country's young people. Based on recent data from the Monitoring the Future study, more than half (53%) of students have at least experimented with an illicit drug by the time they leave high school. Negative health consequences of using illegal substances can include liver and lung damage, addiction, overdose, and even death. In addition, research has shown that the use of illicit drugs (including but not limited to marijuana, methamphetamines, and Ecstasy) can cause significant damage to developing teenaged brains, resulting in problems with learning, memory, thinking, and problem solving.

Within the last decade, the Supreme Court of the United States has ruled on two important cases dealing directly with school-based drug testing programs for students. In *Vernonia School District 47J v. Acton* (1995), the court found that a mandatory random drug testing program for student athletes did not violate the Fourth and Fourteenth Amendments. In its ruling, the court cited the special safety risks and lower expectation of privacy inherent in competitive sports, as well as the fact that athletes are role models for other students. In 2002, in *Board of Education of Independent School District No. 92 of Pottawatomie County v. Earls*, the court ruled that the school district's random drug testing policy for students in competitive extracurricular activities was constitutional.

Testing students for illicit substances can be an important tool for school districts in their efforts to identify those students who are in need of counseling or treatment, to reduce current drug use, and to prevent future use. Deterrence has been clearly documented in the workplace. "Employees in many national security and safety-sensitive positions – airline pilots, commercial truck drivers, school bus drivers, to name a few – are subject to pre-employment and random drug tests to ensure public safety. Employers who have followed the federal model have seen a 67-percent drop in positive drug tests." ²

While testing students can be a helpful tool to schools in fighting illicit drug use, it should not be the only tool. A student drug testing program has a much greater likelihood of success when it is part of a school's or district's broad, comprehensive program to reduce student illicit drug use. Also, it should be remembered that the overall goal of student drug testing programs is not to punish. While some consequences are often a part of such programs, the main goal of schools should be to discourage illicit drug use and connect counseling and treatment to those students who test positive.

¹ Johnston, L.D., O'Malley, P.M., and Bachman, J.G. <u>Monitoring the Future national results on adolescent drug use: Overview of key findings, 2002.</u> (NIH Publication No. 03-5374). MD: National Institute on Drug Abuse. Available online at http://monitoringthefuture.org/pubs/monographs/overview2002.pdf

² What You Need to Know About Drug Testing in Schools, Office of National Drug Control Policy, July 2002. Available online at http://www.whitehousedrugpolicy.gov/pdf/drug_testing.pdf or http://www.whitehousedrugpolicy.gov/publications/drug_testing/index.html.

In July 2002, the Office of National Drug Control Policy published an informational guide entitled What You Need to Know About Drug Testing in Schools. This guide is available online at http://www.whitehousedrugpolicy.gov/ or by calling 1-800-666-3332.

APPLICATION REQUIREMENTS

Participation of Private School Children and Teachers

Entities receiving a grant under the Demonstration Grants for Student Drug Testing Program are required to provide for the equitable participation of private school children and their teachers or other educational personnel. In order to ensure that grant program activities address the needs of private school children, timely and meaningful consultation with appropriate private school officials must occur during the design and development of the program. Administrative direction and control over grant funds must remain with the grantee.

Legal Review

Applicants must provide an assurance that legal counsel has reviewed the proposed program and advised that the program activities do not appear to violate established constitutional principles or State and Federal requirements related to implementing a student drug testing program. This assurance must appear as a part of Appendix 1. Applications that do not include this assurance will not be considered for funding.

Partnership Agreement

Applicants other than LEAs must demonstrate that they have established a partnership with one or more LEAs to carry out the program. This partnership must be demonstrated by submitting a partnership agreement signed by the superintendent or an authorized representative of the participating LEA. Letters of support for the proposed project are not sufficient to demonstrate the required partnership. This agreement must appear as a part of Appendix 1. Applications that do not include this agreement will not be considered for funding.

Maintenance of Effort

LEAs may receive a grant only if the state educational agency finds that the combined fiscal effort per student or the aggregate expenditures of the agency and the State with respect to the provision of free public education by the agency for the preceding fiscal year was not less than 90 percent of the combined effort or aggregate expenditures for the second preceding fiscal year.

Number of Application Copies

All applicants are required to submit one signed original and two copies of their applications (**unbound**). To expedite our review of your application, you also are requested to submit one additional copy, but are not required to do so and will not be penalized.

SUPPLEMENTARY INFORMATION

Participation of Faith-based Organizations

Faith-based organizations are eligible to apply for grants under this competition provided they meet all statutory and regulatory requirements.

Grantee Meetings

All applicants must budget for yearly attendance by two persons at the annual grantee meeting (two days) and the OSDFS National Conference (three days). These meetings will usually be held in Washington, DC. Grant funds may be used to pay for all costs associated with attendance at these meetings including transportation, hotel, and per diem.

Additional Awards

Contingent upon the availability of funds, the Secretary may make additional awards in Fiscal Year 2004 from the rank-ordered list of nonfunded applications from this competition.

Definition

"Drug" is defined to include controlled substances; the illegal use of alcohol and tobacco; and the harmful, abusive, or addictive use of substances, including inhalants and anabolic steroids.

Target Population

Students selected for drug testing must be limited to the following: (1) students who participate in the school's athletic program; (2) students who are engaged in competitive, extracurricular school-sponsored activities; and (3) students who, along with their parents or guardian, have consented or volunteered to participate in a random drug testing program.

V. PRIORITIES AND SELECTION CRITERIA

Absolute Priority

Under 34 CFR 75.105(c)(3) and the No Child Left Behind Act of 2001 (Elementary and Secondary Education Act, Title IV – Section 4121), we give an absolute preference to applications that meet the following priority. We fund under this competition only those applications that meet the following absolute priority:

Grants to local educational agencies and public and private entities to develop or enhance, implement, and evaluate school-based drug testing programs for students. Any random drug testing program conducted with funds awarded under this competition must be limited to one or more of the following: (1) students who participate in the school's athletic program; (2) students who are engaged in competitive, extracurricular school-sponsored activities; and (3) students who, along with their parents or guardian, have consented or volunteered to participate in a random drug testing program.

In order to be eligible for an award, applicants must:

- 1. identify a target population and demonstrate a significant need for drug testing within the target population;
- 2. explain how the proposed drug testing program will be part of a comprehensive drug prevention program in the schools to be served;
- 3. provide a comprehensive plan for referral to treatment or counseling of students identified as drug users through the testing program; and
- 4. provide a plan to ensure the confidentiality of drug testing results.

Competitive Preference Priority

In order to determine whether the drug testing projects supported under this program produce meaningful effects on student drug use, we have established a competitive preference priority within the absolute priority for this competition. We will award up to 10 additional points to applicants that propose experimental or quasi-experimental evaluation of projects. These points are in addition to any points the application earns under the selection criteria.

Evaluations using an experimental design are the strongest for determining program effectiveness. Thus, the project preferably uses an experimental design. An evaluation using an experimental design is one where subjects (students, teachers, classrooms, or schools) are randomly assigned to receive the program being evaluated or to be in a control group that does not receive the program.

If random assignment is not feasible, the project may employ a quasi-experimental design with carefully matched comparison conditions. This alternative design attempts to approximate a randomly assigned control group by matching subjects (students, teachers, classrooms, or schools) with non-participants possessing similar pre-program characteristics.

Data from reliable and valid measures of the intervention that the program intends to implement and of the outcomes that the program intends to affect, should be collected before and after participation in the program or the comparison condition.

Points awarded under this priority will be determined by the quality of the proposed evaluation. In determining the quality of the evaluation, we will consider the extent to which the applicant presents a feasible, credible plan that includes:

- 1. The type of design to be used (random assignment or matched comparison);
- 2. Outcomes to be measured;
- 3. A discussion of how students, teachers, classrooms, or schools will be assigned to the program or matched for comparison with other students, teachers, classrooms, or schools; and
- 4. A proposed evaluator, preferably independent, with the necessary background and technical expertise to carry out the proposed evaluation.

Applicants who apply for the competitive preference will have their applications reviewed separately by a panel of non-federal experts that includes at least one evaluation expert.

Selection Criteria

The following selection criteria will be used to evaluate applications. For ease of reading by the reviewers, applicants should develop their narrative description to follow the sequence of criteria provided below. The total number of points awarded for these selection criteria is 100. Together with the competitive preference priority, the maximum number of points that may be awarded is 110. (Note: The criteria contain weighted subcriteria. Applicants must address each subcriterion to qualify for the maximum number of points for each criterion.)

- 1) Need for Project 20 points
- 2) Significance 20 points
- 3) Quality of the Project Design 30 points
- 4) Management Plan 10 points
- 5) Quality of the Project Evaluation 20 points

1. Need for Project (20 points)

In determining the need for the proposed project, the following factor is considered:

The magnitude or severity of the problem to be addressed by the proposed project. (20 points)

Note: Under this criterion we will look for evidence that the applicant has conducted a student drug use survey or other needs assessment that demonstrates a significant need for drug testing in the target population.

2. Significance (20 points)

In determining the significance of the proposed project, the following factors are considered:

- A. The potential contribution of the proposed project to the development and advancement of theory, knowledge, and practices in the field of study. (10 points)
- B. The extent to which the proposed project involves the development or demonstration of promising new strategies that build on, or are alternatives to, existing strategies. (5 points)
- C. The potential for generalizing from the findings or results of the proposed project. (5 points)

3. Quality of the Project Design (30 points)

In determining the quality of the project design of the proposed project, the following factors are considered:

- A. The extent to which the design of the proposed project reflects up-to-date knowledge from research and effective practice. (10 points)
- B. The extent to which the proposed project will establish linkages with other appropriate agencies and organizations providing services to the target population. (5 points)
- C. The quality of the proposed demonstration design and procedures for documenting project activities and results. (10 points)
- D. The extent to which the project demonstrates an exceptional approach to the priority established for the competition. (5 points)

Note: Under this criterion we will look at the likelihood that the applicant's plan will lead to reductions in the incidence of drug use by students in the target population.

4. Management Plan (10 points)

In determining the quality of the management plan, the following factor is considered:

How the applicant will ensure that a diversity of perspectives are brought to bear in the operation of the proposed project, including those of parents, teachers, the business community, a variety of disciplinary and professional fields, recipients or beneficiaries of services, or others, as appropriate. (10 points)

5. Quality of the Project Evaluation (20 points)

In determining the quality of the evaluation, the following factors are considered:

- A. The extent to which the methods of evaluation provide for examining the effectiveness of project implementation strategies. (10 points)
- B. The extent to which the methods of evaluation include the use of objective performance measures that are clearly related to the intended outcomes of the project and will produce quantitative and qualitative data to the extent possible. (10 points)

Note: Under this criterion, we will look for the applicant's plan to provide reliable data that measures declines in the incidence of drug use by students in the target population in the past month and in the past year.

VI. APPLICATION CONTENTS

Preparing the Application

A completed application for assistance under this competition consists of two parts:

- (1) a detailed narrative description of the proposed project and budget, and
- (2) all forms and assurances that must be submitted in order to receive a grant.

A panel of non-Federal readers with experience in school-based prevention programs, other youth development specialty, or evaluation will review each eligible application submitted by the deadline

The panel will award points ranging from 0 to 100 to each application depending on how well the selection criteria are addressed. Together with the competitive preference priority, the maximum number of points that may be awarded is 110. Applicants who apply for the competitive preference will have their applications reviewed separately by a panel of non-federal experts that includes at least one evaluation expert. Be sure you provide a comprehensive response to each factor under each selection criterion. Applications that fail to do so will be read, but our experience suggests they may not score well enough to be funded.

Organizing the Application

An application under this program should address the specific needs of the applicant and propose activities specifically designed to meet those needs. The Department strongly discourages applicants from using "form" applications or proposals that address general rather than specific local needs

We recommend that applicants organize the information in their application in the following order. All pages should be number consecutively to make review and evaluation easier. Applications should be double-spaced and printed on one side of the paper.

- **1. Application for Federal Assistance (ED Form 424):** Use the Application for Federal Education Assistance (ED Form 424) to cover the original and each copy of the application.
- **2. Table of Contents:** Include a Table of Contents with page references.
- **3. Abstract:** Include a concise, one-page, double-spaced abstract following the Table of Contents. This is a key element and should include a brief narrative describing: (1) a brief summary of the project goals and objectives; (2) the intended outcomes of the project; and (3) the theoretical or research basis for the project. Clearly mark this page with the applicant's name as shown in Item 1 of ED Form 424 and the title of the project as shown in Item 13 of the same form. **Note:** If you are requesting consideration for the competitive preference priority, you must clearly state this in the abstract.

- **4. Narrative:** Narratives should be no more than 25 double-spaced pages. Font size should be no smaller than 11-point type. Narratives must contain evidence that the applicant meets the absolute priority, and should contain and follow in sequence the information requested for each selection criterion. Applicants should review Section IV for a discussion of the selection criteria and the chief considerations for this specific competition. A timeline or schedule of tasks and events, responsible person(s), project milestone(s), and/or completion dates should be included in the narrative.
- **5. Budget Form:** Use the Budget Information Form (ED Form 524, Section A) provided in the Appendix of this application package to prepare a budget for the funded project period. **Note**: A budget must be submitted for each year in which funds are requested. Failure to supply a budget for each year of the project will result in no funding for those years.
- **6. Budget Narrative:** Include a detailed budget narrative that supports and explains the information provided in the Budget Information Form (ED Form 524). Use the same budget categories as those on the ED Form 524 and explain the basis used to estimate costs for all budget categories, and how the cost items relate to the proposed project goals, objectives, and activities. All expenditures must be necessary to carry out the goals and objectives of the program, reasonable for the scope and complexity of the program, and allowable under the terms and conditions of the grant and in accordance with government cost principles.

The Budget Information Form and accompanying narrative should provide enough detail to easily understand how costs were determined and if the budget is commensurate with the scope of the project. Applicants must submit separate detailed budgets for each year as shown on the Budget Information Form. Failure to justify the need for each expenditure may result in those funds being cut from the budget for the project.

- **7. Appendices:** Appendices should be limited only to supporting documentation such as personnel qualifications. Do not place in the appendix information that is relevant to the project narrative. Be sure to include copies of appendices with each copy of the application. Do not include timelines or other management charts as appendices, as they should be included in the narrative. **Note:** Appendix 1 must include the assurance of legal review (all applicants) and the partnership agreement (applicants other than LEAs).
- **8. Assurances and Certifications:** Standard Form 424B, ED Form 80-0013, and ED Form 80-0014 must be signed with the original signatures of the person authorized to sign for the institution, and must be included in the application package. **Note**: If 1(b) of Form ED 80-0013 applies because of lobbying activities related to a previous grant, or are anticipated to occur with this project if it is funded, you must submit Standard Form LLL. If your organization does not engage in lobbying, please submit Standard Form LLL and mark NA on the face.

General Education Provisions Act (GEPA) Section 427

Section 427 of GEPA affects applicants for new discretionary grant awards under this program. All applicants for new awards must include information in their applications to address this provision, summarized below, in order to receive funding under this program.

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally-assisted program for students, teachers, and other program beneficiaries with special needs.

This section allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation that you may address: *gender, race, national origin, color, disability*, or *age*. Based on local circumstances, you can determine whether these or other barriers may prevent your students, teachers, or others, from equitable access or participation. Your description need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

NOTE: A general statement of an applicant's nondiscriminatory hiring policy is not sufficient to meet this requirement. Applicants must identify potential barriers and explain steps they will take to overcome these barriers.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

Examples

The following examples help illustrate how an applicant may comply with section 427.

- (1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.
- (2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audiotape or in Braille for students who are blind.
- (3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it tends to conduct "outreach" efforts to girls, to encourage their enrollment.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

Paperwork Burden Statement for GEPA 427

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for the GEPA 427 is OMB No. 1801-0004. The time required to complete GEPA 427 is estimated to average 1.5 hours per response, including the time to review instructions, search existing data resources, gather and maintain the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: Office of Safe and Drug-Free Schools, U.S. Department of Education, 400 Maryland Avenue, SW, Room 3E314, Washington, DC 20202-6450.

VII. APPLICATION SUBMISSION PROCEDURES

Application Transmittal Instructions

Note: Some of the procedures in these instructions for transmitting applications differ from those in the Education Department General Administrative Regulations (EDGAR) (34 CFR 75.102). Under the Administrative Procedure Act (5 U.S.C. 553), the Department generally offers interested parties the opportunity to comment on proposed regulations. However, these amendments make procedural changes only and do not establish new substantive policy. Therefore, under 5 U.S.C. 553(b)(A), the Secretary has determined that proposed rulemaking is not required.

Pilot Project for Electronic Submission of Applications

In Fiscal Year 2003, the U.S. Department of Education is continuing to expand its pilot project for electronic submission of applications to include additional formula grant programs and additional discretionary grant competitions. The Demonstration Grants for Student Drug Testing competition (CFDA #84.184D) is one of the programs included in the pilot project. If you are an applicant under the Demonstration Grants for Student Drug Testing competition, you may submit your application to us in either electronic or paper format.

The pilot project involves the use of the Electronic Grant Application System (e-Application) portion of the Grant Administration and Payment System (GAPS). Users of e-Application will be entering data on-line while completing their applications. You may not e-mail a soft copy of a grant application to us. If you participate in this voluntary pilot project by submitting an application electronically, the data you enter on-line will be saved into a database. We request your participation in e-Application. We shall continue to evaluate its success and solicit suggestions for improvement.

If you participate in this e-Application, please note the following.

- > Your participation is voluntary.
- You will not receive any additional point value because you submit a grant application in electronic format, nor will we penalize you if you submit your application in paper format. When you enter the e-Application system, you will find information about its hours of operation.
- ➤ You may submit all documents electronically, including the Application for Federal Education Assistance (ED 424), Budget Information—Non-Construction Programs (ED 524), and all necessary assurances and certifications.
- ➤ After you electronically submit your application, you will receive an automatic acknowledgement, which will include a PR/Award number (an identifying number unique to your application).
- ➤ Within three working days after submitting your electronic application, fax a signed copy of the Application for Federal Education Assistance (ED 424) after following these steps.
 - 1. Print ED 424 from the e-Application system.
 - 2. The institution's Authorizing Representative must sign this form.

- 3. Place the PR/Award number in the upper right hand corner of the hard copy signature page of the ED 424.
- 4. Fax the signed ED 424 to the Application Control Center at 202/260-1349.
- We may request that you give us original signatures on all other forms at a later date.
- ➤ Closing Date Extension in Case of System Unavailability: If you elect to participate in the e-Application pilot for the Demonstration Grants for Student Drug Testing competition and you are prevented from submitting your application on the closing date because the e-Application system is unavailable, we will grant you an extension of one business day in order to transmit your application electronically, by mail, or by hand delivery. For us to grant this extension:
 - (1) You must be a registered user of e-Application, and have initiated an e-Application for this competition; and
 - (2) (a) The e-Application system must be unavailable for 60 minutes or more between the hours of 8:30am and 3:30pm (Eastern Time), on the deadline date; or
 - (2) (b)The e-Application system must be unavailable for any period of time during the last hour of operation (that is, for any period of time between 3:30pm and 4:30pm (Eastern Time)) on the deadline date. The Department must acknowledge and confirm these periods of unavailability before granting you an extension. To request this extension you must contact either (1) Heather Carkuff by e-mail (heather.carkuff@ed.gov) or (2) the e-Grants help desk at 888/336-8930.

You may access the electronic grant application for the Demonstration Grants for Student Drug Testing competition at http://e-grants.ed.gov.

We have included additional information about the e-Application pilot project (see Parity Guidelines between Paper and Electronic Applications) elsewhere in this package.

Transmittal Requirements

If you want to apply for a grant and be considered for funding, you must meet the following deadline requirements:

If You Send Your Application by Mail

You must mail the original and two copies of the application on or before the deadline date. To help expedite our review of your application, we would appreciate your voluntarily including an additional copy of your application. Mail your application to U.S. Department of Education, Application Control Center, Attention: CFDA #84.184D, ROB 3 – Room 3671, 7th and D Streets, SW, Washington, DC 20202-4725.

You must show one of the following as proof of mailing.

- 1. A legibly dated U.S. Postal Service postmark
- 2. A legible mail receipt with the date of mailing stamped by the U.S. Postal Service
- 3. A dated shipping label, invoice, or receipt from a commercial carrier
- 4. Any other proof of mailing acceptable to the Secretary

If you mail an application through the U.S. Postal Service, we do not accept either of the following as proof of mailing.

- 1. A private metered postmark
- 2. A mail receipt that is not dated by the U.S. Postal Service

If You Deliver Your Application by Hand

You or your courier must hand deliver the original and two copies of the application by 4:30pm (Eastern Time) on or before the deadline date. To help expedite our review of your application, we would appreciate your voluntarily including an additional copy of your application. Deliver your application to U.S. Department of Education, Application Control Center, Attention: CFDA #84.184D, ROB 3 – Room 3671, 7th and D Streets, SW, Washington, DC 20202-4725.

The Application Control Center accepts application deliveries daily between 8:00am and 4:30pm (Eastern Time), except Saturdays, Sundays, and Federal holidays. The Center accepts application deliveries through the D Street entrance only. A person delivering an application must show identification to enter the building.

If You Submit Your Application Electronically

You must submit your grant application through the Internet using the software provided on the e-Grants Web site (http://e-grants.ed.gov) by 4:30pm (Eastern Time) on the deadline date.

The regular hours of operation of the e-Grants Web site are 6:00am until 12:00 midnight (Eastern Time) Monday-Friday and 6:00am until 7:00pm (Eastern Time) Saturdays. The system is unavailable on the second Saturday of every month, Sundays, and Federal holidays. Please note that on Wednesdays the Web site is closed for maintenance at 7:00pm (Eastern Time).

Notes

- 1. The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.
- 2. If you send your application by mail or if you or your courier delivers it by hand, the Application Control Center will mail a Grant Application Receipt Acknowledgement to you. If you do not receive the notification of application receipt within 15 days from the date of mailing the application, you should call the U.S. Department of Education Application Control Center at 202/708-9493.
- 3. If your application is late, we will notify you that we will not consider the application.
- 4. You must indicate on the envelope and, if not provided by the Department, in Item 4 of the Application for Federal Education Assistance (ED 424 (exp. 11/30/2004)) the CFDA number, and suffix letter, if any, of the competition under which you are submitting your application.
- 5. If you submit your application through the Internet via the e-Grants Web site, you will receive an automatic acknowledgement when we receive your application.

Parity Guidelines Between Paper and Electronic Applications

In Fiscal Year 2003, the U.S. Department of Education is continuing to expand the pilot project that allows applicants to use an Internet-based electronic system for submitting applications. This

competition is among those that have an electronic submission option available to all applicants. The system, called e-Application, allows an applicant to submit a grant application to us electronically, using a current version of the applicant's Internet browser. To see e-Application, visit the following address: http://e-grants.ed.gov.

Users of e-Application, a data driven system, will be entering data on-line while completing their applications. This will be more interactive than just e-mailing a soft copy of a grant application to us. If you participate in this voluntary pilot project by submitting an application electronically, the data you enter on-line will go into a database and ultimately will be accessible in electronic form to our reviewers.

This pilot project continues the Department's transition to an electronic grant award process. In addition to e-Application, the Department plans to expand the number of discretionary programs using the electronic peer review (e-Reader) system and to increase the participation of discretionary programs offering grantees the use of the electronic annual performance reporting (e-Reports) system. To help ensure parity and a similar look between electronic and paper copies of grant applications, we are asking each applicant that submits a paper application to adhere to the following guidelines.

- Submit your application on 8 ½" by 11" paper.
- Leave a one-inch margin on all sides.
- ➤ Use consistent font throughout your document. You also may use boldface type, underlining, and italics. However, please do not use colored text.
- Please also use black and white for illustrations, including charts, tables, graphs, and pictures.
- For the narrative component, your application should consist of the number and text of each selection criterion followed by the narrative. The text of the selection criterion, if included, does not count against any page limitation.
- > Place a page number at the bottom right of each page beginning with 1, and number your pages consecutively throughout your document.

Number of Copies of Applications

All applicants are required to submit one signed original and two copies of their applications (**unbound**). To expedite our review of your application, you also are requested to submit one additional copy, but are not required to do so and will not be penalized.

Do not attach anything that cannot be photocopied using automatic processes. Do not attach anything stapled, folded, pasted, or in a size other than 8 ½" x 11" on white paper. Only one side of the paper should have printing. Odd-sized attachments of any kind will not be copied.

VIII. INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS

This grant competition is subject to the requirements of Executive Order 12372 and the regulations in 34 CFR Part 79. One of the objectives of the Executive Order is to foster an intergovernmental partnership and a strengthened federalism. The Executive Order relies on processes developed by State and local governments for coordination and review of proposed Federal financial assistance.

Applicants must contact the appropriate State Single Point of Contact to find out about, and to comply with, the State's process under Executive Order 12372. Applicants proposing to perform activities in more than one State should immediately contact the Single Point of Contact for each of those States and follow the procedure established in each State under the Executive Order. The name and address of each State Single Point of Contact is listed below. *Note*: A copy of the applicant's letter to the State Single Point of Contact must be included with their application.

In States that have not established a process or chosen a program for review, State, area-wide, regional, and local entities may submit comments directly to the Department.

Any State Process Recommendation and other comments submitted by a State Single Point of Contact and any comments from State, area-wide, regional, and local entities must be received by September 18, 2003, at the following address: The Secretary, EO 12372 – CFDA #84.184D, U.S. Department of Education, 400 Maryland Avenue, SW, Room 7W300, Washington, DC 20202-0124. Recommendations or comments may be hand-delivered until 4:30 p.m. (Eastern Time) on September 18, 2003. Please do not send applications to this address.

States that are not listed have chosen not to participate in the intergovernmental review process, and therefore do not have a State Single point of Contact. If you are located within one of these States, you are exempt from this requirement.

State Single Points of Contact

ARKANSAS

Tracy L. Copeland
Manager, State Clearinghouse
Office of Intergovernmental Services
Department of Finance and Administration
1515 West 7th Street, Room 412
Little Rock, AR 72203
Phone: 501/682 1074

Phone: 501/682-1074 Fax: 501/682-5206

E-mail: tlcopeland@dfa.state.ar.us

CALIFORNIA

Grants Coordination State Clearinghouse Office of Planning and Research P.O. Box 3044, Room 222 Sacramento, CA 95812-3044

Phone: 916/445-0613 Fax: 916/323-3018

E-mail: state.clearinghouse@opr.ca.gov

DELAWARE

Charles H. Hopkins **Executive Department** Office of the Budget

540 South Dupont Highway, 3rd Floor

Dover DE 19901 Phone: 302/739-3323 Fax: 302/739-5661

E-mail: chopkins@state.de.us

DISTRICT OF COLUMBIA

Luisa Montero-Diaz

Office of Partnerships and Grants Development

Executive Office of the Mayor District of Columbia Government 441 4th Street, NW, Suite 530 South Washington, DC 20001

Phone: 202/727-8900 Fax: 202/727-1652

E-mail: opgd.eom@dc.gov

FLORIDA

Jasmine Raffington Florida State Clearinghouse Department of Community Affairs 2555 Shumard Oak Boulevard Tallahassee, FL 32399-2100 Phone: 850/922-5438

Fax: 850/414-0479

E-mail: clearinghouse@dca.state.fl.us

GEORGIA

Georgia State Clearinghouse 270 Washington Street, SW Atlanta, GA 30334

Phone: 404/656-3855 Fax: 404/656-7901

E-mail: gach@mail.opb.state.ga.us

ILLINOIS

Virginia Bova

Department of Commerce and Community Affairs

James R. Thompson Center 100 West Randolph, Suite 3-400 Chicago, IL 60601

Phone: 312/814-6028 Fax: 312/814-8485

E-mail: vbova@commerce.state.il.us

IOWA

Steven R. McCann

Division of Community and Rural Development Iowa Department of Economic Development

200 East Grand Avenue Des Moines IA 50309 Phone: 515/242-4719 Fax: 515/242-4809

E-mail: steve.mccann@ided.state.ia.us

KENTUCKY

Ron Cook

Department for Local Government 1024 Capital Center Drive, Suite 340

Frankfort, KY 40601 Phone: 502/573-2382 Fax: 502/573-2512

E-mail: ron.cook@mail.state.ky.us

MAINE

Joyce Benson

State Planning Office

184 State Street, 38 State House Station

Augusta, ME 04333 Phone: 207/287-3261 Fax: 207/287-6489

E-mail: joyce.benson@state.me.us

MARYLAND

Linda Janev

Manager, Clearinghouse and Plan Review Unit

Maryland Office of Planning

301 West Preston Street, Room 1104

Baltimore, MD 21201-2305 Phone: 410/767-4490 Fax: 410/767-4480

E-mail: linda@mail.op.state.md.us

MICHIGAN

Richard Pfaff

Southeast Michigan Council of Governments

535 Griswold, Suite 300 Detroit, MI 48226 Phone: 313/961-4266 Fax: 313/961-4869

E-mail: pfaff@semcog.org

MISSISSIPPI

Cathy Mallette Clearinghouse Officer

Department of Finance and Administration

1301 Woolfolk Building, Suite E

501 North West Street Jackson, MS 39201 Phone: 601/359-6762

Fax: 601/359-6758

MISSOURI

Angela Boessen

Federal Assistance Clearinghouse

Office of Administration

P.O. Box 809

Truman Building, Room 840 Jefferson City, MO 65102 Phone: 573/751-4834

Fax: 573/522-4395

E-mail: <u>igr@mail.oa.state.mo.us</u>

NEVADA

Heather Elliott

Department of Administration

State Clearinghouse

209 East Musser Street, Room 200

Carson City, NV 89701 Phone: 775/684-0209 Fax: 775/684-0260

E-mail: helliott@govmail.state.nv.us

NEW HAMPSHIRE

Jeffrey H. Taylor

Director, New Hampshire Office of State Planning

Attn: Intergovernmental Review Process

2½ Beacon Street Concord, NH 03301 Phone: 603/271-2155 Fax: 603/271-1728

E-mail: jtaylor@osp.state.nh.us

NEW MEXICO

Ken Hughes

Local Government Division

Room 201, Bataan Memorial Building

Santa Fe, NM 87503 Phone: 505/827-4370 Fax: 505/827-4948

E-mail: khughes@dfa.state.nm.us

NORTH CAROLINA

Jeanette Furney

Department of Administration 1302 Mail Service Center Raleigh, NC 27699-1302 Phone: 919/807-2323

Fax: 919/733-9571

E-mail: jeanette.furney@ncmail.net

NORTH DAKOTA

Jim Boyd

Division of Community Services 600 East Boulevard Avenue, Dept. 105

Bismarck, ND 58505-0170 Phone: 701/328-2094 Fax: 701/328-2308

E-mail: jboyd@state.nd.us

RHODE ISLAND

Kevin Nelson

Department of Administration Statewide Planning Program

One Capitol Hill

Providence, RI 02908-5870 Phone: 401/222-2093 Fax: 401/222-2083

E-mail: knelson@doa.state.ri.us

SOUTH CAROLINA

Omeagia Burgess Budget and Control Board Office of State Budget 1122 Ladies Street, 12th Floor

Columbia, SC 29201 Phone: 803/734-0494 Fax: 803/734-0645

E-mail: aburgess@budget.state.sc.us

TEXAS

Denise S. Francis

Director, State Grants Team

Governor's Office of Budget and Planning

P.O. Box 12428 Austin, TX 78711 Phone: 512/305-9415 Fax: 512/936-2681

E-mail: dfrancis@governor.state.tx.us

UTAH

Carolyn Wright

Utah State Clearinghouse

Governor's Office of Planning and Budget

State Capitol, Room 114 Salt Lake City, UT 84114 Phone: 801/538-1535 Fax: 801/538-1547

E-mail: cwright@gov.state.ut.us

WEST VIRGINIA

Fred Cutlip

Director, Community Development Division

West Virginia Development Office

Building #6, Room 553 Charleston, WV 25305 Phone: 304/558-4010 Fax: 304/558-3248

E-mail: <u>fcutlip@wvdo.org</u>

WISCONSIN

Jeff Smith

Section Chief, Federal/State Relations Wisconsin Department of Administration 101 East Wilson Street. 6th Floor

P.O. Box 7868 Madison, WI 53707 Phone: 608/266-0267 Fax: 608/267-6931

E-mail: jeffrey.smith@doa.state.wi.us

AMERICAN SAMOA

Pat M. Galea'i

Federal Grants/Programs Coordinator Office of Federal Programs

Office of the Governor/Department of Commerce

American Samoa Government

Pago Pago, AS 96799 Phone: 684/633-5155 Fax: 684/633-4195

E-mail: pmgaleai@samoatelco.com

GUAM

Director, Bureau of Budget and Management

Research

Office of the Governor P.O. Box 2950 Agana, GU 96910

Phone: 011-671-472-2285 Fax: 011-671-472-2825 E-mail: jer@ns.gov.gu

PUERTO RICO

Jose Caballero/Mayra Silva Puerto Rico Planning Board Federal Proposals Review Office Minillas Government Center

P.O. Box 41119

San Juan, PR 00940-1119 Phone: 787/723-6190 Fax: 787/722-6783

NORTH MARIANA ISLANDS

Jacoba T. Seman

Federal Programs Coordinator Office of Management and Budget

Office of the Governor Saipan, MP 96950 Phone: 670/664-2289 Fax: 670/664-2272 omb.jseman@saipan.com

VIRGIN ISLANDS

Ira Mills

Director, Office of Management and Budget #41 Norre Gade Emancipation Garden Station, 2nd

Floor

St. Thomas, VI 00802 Phone: 340/774-0750 Fax: 340/776-0069 E-mail: irmills@usvi.org

Note: This list is based on the most current information provided by the States. Information on any changes or apparent errors should be provided to Sherron Duncan at 202/395-3120 at the Office of Management and Budget and to the State in question. Changes to the list will only be made upon formal notification by the State. The list also is published biannually in the Catalog of Federal Domestic Assistance.

IX. FREQUENTLY ASKED QUESTIONS

What steps can I take to maximize my chances of receiving a grant?

- ➤ Before preparing your application, read the application package carefully and completely.
- > Follow all of the instructions exactly.
- ➤ If you're uncertain about any aspects of this application package, contact the competition manager for clarification.
- A panel of non-Federal readers with experience in school-based prevention programs, other youth development specialty, or evaluation will review each eligible application submitted by the deadline. Be sure to organize your application clearly, provide requested information in a comprehensive manner, and respond to each selection criterion thoroughly. Reviewers are not allowed to give you "the benefit of the doubt;" therefore, if it is not in your application, they cannot award points for it.
- ➤ Be sure that your application includes a budget request (ED Form 524) and complete narrative justification for each project year.
- ➤ Be sure to mail in your application on or before the deadline date of August 20, 2003.

Is there a matching requirement?

No.

Can I charge indirect costs to this project?

Yes. However, you must have a negotiated indirect cost rate. An LEA may use an indirect cost rates negotiated with its State. Other entities must obtain a negotiated indirect cost rate from the Department of Education or their cognizant agency. For more information about indirect cost rates, please visit www.ed.gov/offices/OCFO/intro.html.

Can grant funds be used to support professional development activities?

Yes, as long as the activities directly support the purposes of the grant.

Are there guidelines for how much money can be spent on consultants? What is the daily limit and is there a cap?

There is no established daily limit or cap for consultant fees. However, fees must not exceed the usual and customary rate for such services. Information related to these fees should be included on ED Form 524 under the Budget Categories of Contractual or Other. Also, applicants should be sure to fully substantiate all expenditures in the budget narrative.

What is the deadline date for applications under this grant competition?

> August 20, 2003.

May I get an extension of the deadline date?

➤ Waivers for individual applications failing to meet the deadline will not be granted, except in the circumstances described under the section on e-Applications. Under very extraordinary circumstances the Department may change the closing date for a grant competition. When this occurs, we announce a change in a notice published in the <u>Federal Register</u>.

Who is eligible to apply?

➤ This grant competition is open to LEAs and public and private entities.

Can I test students for diseases?

Applicants can only propose to test for substances consistent with the definition of "drug." These include controlled substances; the illegal use of alcohol and tobacco; and the harmful, abusive, or addictive use of substances, including inhalants and anabolic steroids.

Can I test all students in the LEA?

No. Applicants must limit testing to one of the following: (1) students who participate in the school's athletic program; (2) students who are engaged in competitive, extracurricular school-sponsored activities; and (3) students who, along with their parents or guardian, have consented or volunteered to participate in a random drug testing program.

My community-based organization would like to institute a drug testing program for students in our summer program. Is this an eligible activity?

➤ No. Drug testing programs must be school-based.

The superintendent of my local LEA does not wish to participate. Can my organization operate a drug testing program without his/her cooperation?

No. Entities other than school districts must work in partnership with an LEA.

Can we contract with a local laboratory to perform the drug test?

Yes. Grantees, however, are responsible for ensuring the confidentiality of the results.

Who do I contact for more information about this grant competition?

➤ Heather Carkuff, Program Analyst, U.S. Department of Education, 400 Maryland Avenue, SW – Room 3E250, Washington, DC 20202-6450, E-mail: heather.carkuff@ed.gov

X. APPENDICES AND FORMS

- > Authorizing Legislation
- ➤ Application for Federal Education Assistance (ED Form 424)
- ➤ Budget Information Non-Construction Programs (ED Form 524)
- ➤ Assurances Non-Construction Programs (Standard Form 424B)
- ➤ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (ED Form 80-0013)
- ➤ Disclosure of Lobbying Activities (Standard Form LLL)
- ➤ Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (ED Form 80-0014)
- > Survey on Ensuring Equal Opportunity for Applicants
- ➤ Important Notice to Prospective Participants (ED Form 5348)
- > Grant Application Receipt Acknowledgement
- > Application Package Preparation Checklist

Authorizing Legislation – No Child Left Behind Act of 2001

Subpart 2-National Programs

SEC. 4121. FEDERAL ACTIVITIES.

- (a) Program Authorized-From funds made available to carry out this subpart under section 4003(2), the Secretary, in consultation with the Secretary of Health and Human Services, the Director of the Office of National Drug Control Policy, and the Attorney General, shall carry out programs to prevent the illegal use of drugs and violence among, and promote safety and discipline for, students. The Secretary shall carry out such programs directly, or through grants, contracts, or cooperative agreements with public and private entities and individuals, or through agreements with other Federal agencies, and shall coordinate such programs with other appropriate Federal activities. Such programs may include-
 - the development and demonstration of innovative strategies for the training of school personnel, parents, and members of the community for drug and violence prevention activities based on State and local needs;
 - 2) the development, demonstration, scientifically based evaluation, and dissemination of innovative and high quality drug and violence prevention programs and activities, based on State and local needs, which may include-
 - a) alternative education models, either established within a school or separate and apart
 from an existing school, that are designed to promote drug and violence prevention,
 reduce disruptive behavior, reduce the need for repeat suspensions and expulsions, enable
 students to meet challenging State academic standards, and enable students to return to
 the regular classroom as soon as possible;
 - b) community service and service-learning projects, designed to rebuild safe and health neighborhoods and increase students' sense of individual responsibility
 - video-based projects developed by noncommercial telecommunications entities that provide young people with models for conflict resolution and responsible decisionmaking; and
 - d) child abuse education and prevention programs for elementary and secondary students;
 - 3) the provision of information on drug abuse education and prevention to the Secretary of Health and Human Services for dissemination;
 - 4) the provision of information on violence prevention and education and school safety to the Department of Justice for dissemination;
 - 5) technical assistance to chief executive officers, State agencies, local educational agencies, and other recipients of funding under this part to build capacity to develop and implement high-quality, effective drug and violence prevention programs consistent with the principles of effectiveness in section 4115(a);
 - 6) assistance to school systems that have particularly severe drug and violence problems, including hiring drug prevention and school safety coordinators, or assistance to support appropriate response efforts to crisis situations;
 - 7) the development of education and training programs, curricula, instructional materials, and professional training and development for preventing and reducing the incidence of crimes and conflicts motivated by hate in localities most directly affected by hate crimes;
 - 8) activities in communities designated as empowerment zones or enterprise communities that will connect schools to community-wide efforts to reduce drug and violence problems; and
 - 9) other activities in accordance with the purpose of this part, based on State and local needs.
- (b) Peer Review-The Secretary shall use a peer review process in reviewing applications for funds under this section.

IMPORTANT NOTICE TO PROSPECTIVE PARTICIPANTS IN U.S. DEPARTMENT OF EDUCATION CONTRACT AND GRANT PROGRAMS

GRANTS

Applicants for grants from the U.S. Department of Education (ED) have to compete for limited funds. Deadlines assure all applicants that they will be treated fairly and equally, without last minute haste. For these reasons, ED must set strict deadlines for grant applications. Prospective applicants can avoid disappointment if they understand that:

Failure to meet a deadline will mean that an applicant will be rejected without any consideration.

The rules, including the deadline, for applying for each grant are published, individually, in the Federal Register. Six-month or one-year subscriptions to the daily, official Federal Register may be ordered from the U.S. Government Printing Office at the following Web site: http://bookstore.gpo.gov/regulatory/fed-reg.html. The Federal Register Complete Service also is available in microfiche on the same Web page. In addition, the Federal Register is available on-line for free on Government Printing Office (GPO) Access: http://www.access.gpo.gov/nara. Depository Library location and Federal Register services: http://www.archives.gov/federal register/index.html.

The instructions in the Federal Register must be followed exactly. Do not accept any other advice you may receive. No ED employee is authorized to extend any deadline published in the Register. Questions regarding submission of applications may be addressed to:

U.S. Department of Education Application Control Center Washington, D.C. 20202-4725

CONTRACTS

Competitive procurement actions undertaken by the ED are governed by the Federal Acquisition Regulations and implementing Department of Education Acquisition Regulations.

Generally, prospective competitive procurement actions are synopsized in the Commerce Business Daily (CBD). Prospective offerors are therein advised of the nature of the procurement and where to apply for copies of the Request for Proposals (RFP). All of ED's RFPs are now available on-line for downloading at the following Web site: http://www.ed.gov/offices/OCFO/contracts/2cbd.html.

Offerors are advised to be guided solely by the contents of the CBD synopsis and the instructions contained in the RFP. Questions regarding the submission of offers should be addressed to the Contracts Specialist identified on the face page of the RFP. Offers are judged in competition with others, and failure to conform with any substantive requirements of the RFP will result in rejection of the offer without any consideration whatever.

Do not accept any advice you receive that is contrary to instructions contained in either the CBD synopsis or the RFP. No ED employee is authorized to consider a proposal, which is non-responsive to the RFP. Six-month or one-year subscriptions to the CBD may be ordered from the U.S. Government Printing Office at the following Web site: http://bookstore.gpo.gov/regulatory/cbd.html. Information included in the Federal Acquisition Regulation is contained in Title 48, Code of Federal Regulations, Chapter 1. The foregoing publication may be obtained by sending your check or money order only, no cash or stamps, to:

Superintendent of Documents U.S. Government Printing Office Washington, D.C. 20402-9371

In addition, the Commerce Business Daily is available on-line for free at the following Web site: http://cbdnet.access.gpo.gov. The Federal Acquisition Regulations are available on-line at the following Web site: http://www.arnet.gov/far.

ED FORM 5348, 10/01

GRANT APPLICATION RECEIPT ACKNOWLEDGMENT

If you fail to receive the notification of application receipt within 15 days from the closing date, call the U.S. Department of Education's Application Control Center at 202/708-9493.

GRANT AND CONTRACT FUNDING INFORMATION

The Department of Education provides information about grant and contract opportunities electronically in several ways:

Department of Education Web site - http://www.ed.gov
Office of the Chief Financial Officer Web Page - http://www.ed.gov/offices/OCFO/

D-U-N-S NUMBER INSTRUCTIONS

The D-U-N-S Number is a unique nine-digit number provided by Dun & Bradstreet that does not convey any information about the recipient. A built-in check helps to ensure the accuracy of the D-U-N-S Number. The ninth digit of each number is the check digit, which is mathematically related to the other digits. It lets computer systems determine if a D-U-N-S Number has been entered correctly.

If you do not currently have a D-U-N-S Number you can obtain one at no charge by calling 800/333-0505 or by completing a D-U-N-S Number Request Form on the Internet at the following Web site: http://www.dnb.com.

Please note that we cannot make a grant award without a D-U-N-S Number.

Use This Checklist in Preparing Your Application Package

- □ Application for Federal Education Assistance (ED Form 424) has been completed according to the instructions and includes the nine-digit D-U-N-S Number and Tax Identification Number.
- □ Application for Federal Education Assistance (ED Form 424) has been signed in black ink and dated by an authorized official and the signed original has been included with your submission.
- □ One signed original and two copies of the application (including ED Form 424 and appendices), plus one voluntarily submitted additional copy have been included. All copies are unbound. Each page is consecutively numbered.
- □ Mailing Address: U.S. Department of Education, Application Control Center, Attention: CFDA #84.184D, ROB 3 Room 3671, 7th and D Streets, SW, Washington, DC 20202-4725
- □ Deadline Date: Applications must be postmarked or hand delivered by **August 20, 2003** (see pages 19-22 of this application package).

THE APPLICATION AND APPENDICES INCLUDES:

Each copy of the application must include the following sections:

- □ Application for Federal Education Assistance (ED Form 424) Page 1
- □ Table of Contents Page 2
- □ Project Abstract Page 3 (one page maximum)
- □ Project Narrative (up to 25 pages double-spaced)
- □ Budget Information Form (ED Form 524) and budget narrative
- □ Partnership Agreement (applicants other than LEAs)
- □ Assurance of Legal Review
- Assurances—Non-Construction Programs (ED Form 424B), signed and dated by an authorized official (including original signature in at least one copy)
- □ Certifications Regarding Lobbying (ED Form 80-0013), signed and dated by an authorized official (including original signature in at least one copy)
- □ Disclosure of Lobbying Activities (Standard Form LLL), signed and dated by an authorized official (including original signature in at least one copy)
- □ Certification Regarding Debarment (ED Form 80-0014), signed and dated by an authorized official (including original signature in at least one copy)
- A narrative response to the GEPA provision, describing the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs.
- □ Copy of Applicant's Letter to State Single Point of Contact